

LICENSE TRANSACTION



License Modification - Change of Category

La Cantina Winery/La Cantina Brewery
355 Union Street
Franklin, MA 02038

La Cantina Winery/La Cantina Brewery, is seeking approval for a change of category on their Farmer Series Pouring Permit from Wines to Wine and Malt Beverages.

All Departments have signed off on this application.

MOTION to approve the request by La Cantina Winery/La Cantina Brewery, for a change of category on their Farmer's Series Pouring Permit.

DATED: _____, 2021

VOTED:

UNANIMOUS: _____

A True Record Attest:

YES: _____ **NO:** _____

ABSTAIN: _____

ABSENT: _____

RECUSED: _____

Nancy Danello, CMC
Temporary Town Clerk

Glenn Jones, Clerk
Franklin Town Council

**NOTICE OF PUBLIC HEARING
FRANKLIN, MA
Change of License Category - La Cantina Winery/La Cantina Brewery**

The Franklin Town Council will hold a Public Hearing on an application by La Cantina Winery/La Cantina Brewery, located at 355 Union Street, Franklin, MA to amend their Section 19H Pouring License from Wine to Wine and Malt. The hearing will be held on Wednesday, May 5th, 2021 at 7:10 PM. This hearing will provide an open forum for the discussion. This meeting will be held remotely via the “ZOOM” platform. Residents can visit the Town Website (Franklinma.gov) and click on the Town Calendar for up to date information on how to access the meeting. If you have any questions, please call the Town Administrator’s Office at (508) 520-4949.

*Submitted by,
Chrissy Whelton
Licensing Administrator*



*The Commonwealth of Massachusetts
Alcoholic Beverages Control Commission
95 Fourth Street, Suite 3, Chelsea, MA 02150-2358
www.mass.gov/abcc*

APPLICATION FOR MULTIPLE AMENDMENTS

Please select all of the amendments you are applying for:

CHANGE OF CATEGORY

DOR Certificate of Good Standing
DUA Certificate of Compliance
Change of Category Application
Vote of the Corporate Board
Abutter's Notification*
Advertisement*
Monetary Transmittal Form
\$200 fee via [ABCC website](#) and Payment Receipt

CHANGE OF LICENSE TYPE

Change of License Type Application
Vote of the Corporate Board
Advertisement*
Monetary Transmittal Form
\$200 fee via [ABCC website](#) and Payment Receipt

CHANGE OF CORPORATE STRUCTURE

DOR Certificate of Good Standing
DUA Certificate of Compliance
Change of Corporate Structure Application
Business Structure Documents
If Sole Proprietor, Business Certificate
If partnership, Partnership Agreement
If corporation or LLC, Articles of Organization from the Secretary of the Commonwealth
Vote of the Corporate Board
Monetary Transmittal Form
\$200 fee via [ABCC website](#) and Payment Receipt

CHANGE OF CLASSIFICATION

DOR Certificate of Good Standing
DUA Certificate of Compliance
Change of Classification Application
Vote of the Corporate Board
Abutter's Notification*
Advertisement*
Monetary Transmittal Form
\$200 fee via [ABCC website](#) and Payment Receipt

**If abutter notification and advertisement are required for transaction, please see the local licensing authority.*



The Commonwealth of Massachusetts
 Alcoholic Beverages Control Commission
 95 Fourth Street, Suite 3, Chelsea, MA 02150-2358
 www.mass.gov/abcc

APPLICATION FOR MULTIPLE AMENDMENTS

1. BUSINESS ENTITY INFORMATION

Entity Name	Municipality	ABCC License Number
La Cantina Winery/La Cantina Brewery	Franklin, MA	

Please provide a narrative overview of the transaction(s) being applied for. On-premises applicants should also provide a description of the intended theme or concept of the business operation. Attach additional pages, if necessary.

La Cantina Winery Co. is currently holds a 19H series pouring permit. La Cantina Brewery Co. was grated a 19C Farmer Brewery permit. Based on the the 19H Pouring Permit law H4569, a Farmer Winery and Farmer Brewery can hold a 19H on the same property. This application is to amend the current 19H pouring permit that is held by La Cantina Winery

APPLICATION CONTACT

The application contact is the person who should be contacted with any questions regarding this application.

Name	Title	Email	Phone
Robert Vozzella	Owner		

2. AMENDMENT-Change of License Classification

<input checked="" type="checkbox"/> Change of License Category	Last-Approved License Category	Wines
All Alcohol, Wine and Malt, Wine Malt and Cordials	Requested New License Category	Wines and Malt Beverages
<input type="checkbox"/> Change of License Class	Last-Approved License Class	
Seasonal or Annual	Requested New License Class	
<input type="checkbox"/> Change of License Type*	Last-Approved License Type	
i.e. Restaurant to Club *Certain License Types CANNOT change once issued*	Requested New License Type	

3. AMENDMENT-Change of Business Entity Information

<input type="checkbox"/> Change of Corporate Name	Last-Approved Corporate Name:	
	Requested New Corporate Name:	
<input type="checkbox"/> Change of DBA	Last-Approved DBA:	
	Requested New DBA:	
<input type="checkbox"/> Change of Corporate Structure	Last-Approved Corporate Structure	
LLC, Corporation, Sole Proprietor, etc	Requested New Corporate Structure	

4. AMENDMENT-Pledge Information

<input type="checkbox"/> Pledge of License	To whom is the pledge being made:	
<input type="checkbox"/> Pledge of Inventory		
<input type="checkbox"/> Pledge of Stock		

5. AMENDMENT-Change of Manager

Change of License Manager

A. MANAGER INFORMATION

The individual that has been appointed to manage and control the licensed business and premises.

Proposed Manager Name Date of Birth SSN

Residential Address

Email Phone

Please indicate how many hours per week you intend to be on the licensed premises Last-Approved License Manager

B. CITIZENSHIP/BACKGROUND INFORMATION

Are you a U.S. Citizen?* Yes No *Manager must be a U.S. Citizen

If yes, attach one of the following as proof of citizenship US Passport, Voter's Certificate, Birth Certificate or Naturalization Papers.

Have you ever been convicted of a state, federal, or military crime? Yes No

If yes, fill out the table below and attach an affidavit providing the details of any and all convictions. Attach additional pages, if necessary, utilizing the format below.

Date	Municipality	Charge	Disposition

C. EMPLOYMENT INFORMATION

Please provide your employment history. Attach additional pages, if necessary, utilizing the format below.

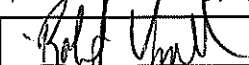
Start Date	End Date	Position	Employer	Supervisor Name
1999	2019	Professor	Wentworth Institute of Technology	
2010	2016	Manager	TE Connectivity	Ray Frigualt
2016	2018	Manager	Globe Composite Solutions	Michael Dyson
2018	Present	Manager	MGB US INC	Yves Roda

D. PRIOR DISCIPLINARY ACTION

Have you held a beneficial or financial interest in, or been the manager of, a license to sell alcoholic beverages that was subject to disciplinary action? Yes No If yes, please fill out the table. Attach additional pages, if necessary,utilizing the format below.

Date of Action	Name of License	State	City	Reason for suspension, revocation or cancellation

I hereby swear under the pains and penalties of perjury that the information I have provided in this application is true and accurate:

Manager's Signature  Date 4/1/2021

6. AMENDMENT-Change of Officers, Stock or Ownership Interest

6B. CURRENT OFFICERS, STOCK OR OWNERSHIP INTEREST

List the individuals and entities of the current ownership. Attach additional pages if necessary utilizing the format below.

Name of Principal	Title/Position	Percentage of Ownership
Name of Principal	Title/Position	Percentage of Ownership
Name of Principal	Title/Position	Percentage of Ownership
Name of Principal	Title/Position	Percentage of Ownership
Name of Principal	Title/Position	Percentage of Ownership
Name of Principal	Title/Position	Percentage of Ownership

6A. INTEREST IN AN ALCOHOLIC BEVERAGES LICENSE

Does any individual or entity identified in question 6, and applicable attachments, have any direct or indirect, beneficial or financial interest in any other license to sell alcoholic beverages? Yes No If yes, list in table below. Attach additional pages, if necessary, utilizing the table format below.

Name	License Type	License Name	Municipality

6B. PREVIOUSLY HELD INTEREST IN AN ALCOHOLIC BEVERAGES LICENSE

Has any individual or entity identified in question 6, and applicable attachments, ever held a direct or indirect, beneficial or financial interest in a license to sell alcoholic beverages, which is not presently held? Yes No If yes, list in table below. Attach additional pages, if necessary, utilizing the table format below.

Name	License Type	License Name	Municipality

6C. DISCLOSURE OF LICENSE DISCIPLINARY ACTION

Have any of the disclosed licenses listed in question 6A or 6B ever been suspended, revoked or cancelled? Yes No If yes, list in table below. Attach additional pages, if necessary, utilizing the table format below.

Date of Action	Name of License	City	Reason for suspension, revocation or cancellation

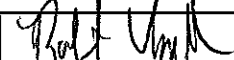
APPLICANT'S STATEMENT

I, Robert A Vozzella the: sole proprietor; partner; corporate principal; LLC/LLP manager
Authorized Signatory
of La Cantina Winery & La Cantina Brewery
Name of the Entity/Corporation

hereby submit this application (hereinafter the "Application"), to the local licensing authority (the "LLA") and the Alcoholic Beverages Control Commission (the "ABCC" and together with the LLA collectively the "Licensing Authorities") for approval.

I do hereby declare under the pains and penalties of perjury that I have personal knowledge of the information submitted in the Application, and as such affirm that all statements and representations therein are true to the best of my knowledge and belief. I further submit the following to be true and accurate:

- (1) I understand that each representation in this Application is material to the Licensing Authorities' decision on the Application and that the Licensing Authorities will rely on each and every answer in the Application and accompanying documents in reaching its decision;
- (2) I state that the location and description of the proposed licensed premises are in compliance with state and local laws and regulations;
- (3) I understand that while the Application is pending, I must notify the Licensing Authorities of any change in the information submitted therein. I understand that failure to give such notice to the Licensing Authorities may result in disapproval of the Application;
- (4) I understand that upon approval of the Application, I must notify the Licensing Authorities of any change in the ownership as approved by the Licensing Authorities. I understand that failure to give such notice to the Licensing Authorities may result in sanctions including revocation of any license for which this Application is submitted;
- (5) I understand that the licensee will be bound by the statements and representations made in the Application, including, but not limited to the identity of persons with an ownership or financial interest in the license;
- (6) I understand that all statements and representations made become conditions of the license;
- (7) I understand that any physical alterations to or changes to the size of the area used for the sale, delivery, storage, or consumption of alcoholic beverages, must be reported to the Licensing Authorities and may require the prior approval of the Licensing Authorities;
- (8) I understand that the licensee's failure to operate the licensed premises in accordance with the statements and representations made in the Application may result in sanctions, including the revocation of any license for which the Application was submitted; and
- (9) I understand that any false statement or misrepresentation will constitute cause for disapproval of the Application or sanctions including revocation of any license for which this Application is submitted.
- (10) I confirm that the applicant corporation and each individual listed in the ownership section of the application is in good standing with the Massachusetts Department of Revenue and has complied with all laws of the Commonwealth relating to taxes, reporting of employees and contractors, and withholding and remitting of child support.

Signature: 

Date: 4/1/2021

Title: Owner

CORPORATE VOTE

The Board of Directors or LLC Managers of La Cantina Winery/La Cantina Brewery
Entity Name
duly voted to apply to the Licensing Authority of Franklin MA and the
City/Town
Commonwealth of Massachusetts Alcoholic Beverages Control Commission on 4/1/2021
Date of Meeting

For the following transactions (Check all that apply):

- New License
- Change of Location
- Change of Class (i.e. Annual / Seasonal)
- Change Corporate Structure (i.e. Corp / LLC)
- Transfer of License
- Alteration of Licensed Premises
- Change of License Type (i.e. club / restaurant)
- Pledge of Collateral (i.e. License/Stock)
- Change of Manager
- Change Corporate Name
- Change of Category (i.e. All Alcohol/Wine, Malt)
- Management/Operating Agreement
- Change of Officers/
Directors/LLC Managers
- Change of Ownership Interest
(LLC Members/ LLP Partners,
Trustees)
- Issuance/Transfer of Stock/New Stockholder
- Change of Hours
- Other
- Change of DBA

"VOTED: To authorize

Robert Vozzella

Name of Person

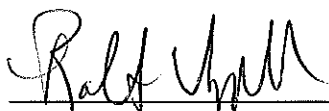
to sign the application submitted and to execute on the Entity's behalf, any necessary papers and do all things required to have the application granted."

"VOTED: To appoint

Name of Liquor License Manager

as its manager of record, and hereby grant him or her with full authority and control of the premises described in the license and authority and control of the conduct of all business therein as the licensee itself could in any way have and exercise if it were a natural person residing in the Commonwealth of Massachusetts."

A true copy attest,



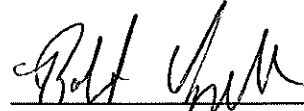
Corporate Officer / LLC Manager Signature

Robert Vozzella

(Print Name)

For Corporations ONLY

A true copy attest,



Corporation Clerk's Signature

Robert Vozzella

(Print Name)



The Commonwealth of Massachusetts
 Alcoholic Beverages Control Commission
 95 Fourth Street, Suite 3, Chelsea, MA 02150-2358
 www.mass.gov/abcc

**RETAIL ALCOHOLIC BEVERAGES LICENSE APPLICATION
 MONETARY TRANSMITTAL FORM**

APPLICATION FOR MULTIPLE AMENDMENTS

APPLICATION SHOULD BE COMPLETED ON-LINE, PRINTED, SIGNED, AND SUBMITTED TO THE LOCAL LICENSING AUTHORITY.

ECRT CODE: RETA

Please make \$200.00 payment here: ABCC PAYMENT WEBSITE

PAYMENT MUST DENOTE THE NAME OF THE LICENSEE CORPORATION, LLC, PARTNERSHIP, OR INDIVIDUAL AND INCLUDE THE PAYMENT RECEIPT

ABCC LICENSE NUMBER (IF AN EXISTING LICENSEE, CAN BE OBTAINED FROM THE CITY)

ENTITY/ LICENSEE NAME

La Cantina Brewery

ADDRESS

355 Union St

CITY/TOWN

Franklin

STATE

MA

ZIP CODE

02038

For the following transactions (Check all that apply):

- | | | | |
|--|---|--|---|
| <input type="checkbox"/> New License | <input type="checkbox"/> Change of Location | <input type="checkbox"/> Change of Class (i.e. Annual / Seasonal) | <input type="checkbox"/> Change Corporate Structure (i.e. Corp / LLC) |
| <input type="checkbox"/> Transfer of License | <input type="checkbox"/> Alteration of Licensed Premises | <input type="checkbox"/> Change of License Type (i.e. club / restaurant) | <input type="checkbox"/> Pledge of Collateral (i.e. License/Stock) |
| <input type="checkbox"/> Change of Manager | <input type="checkbox"/> Change Corporate Name | <input checked="" type="checkbox"/> Change of Category (i.e. All Alcohol/Wine, Malt) | <input type="checkbox"/> Management/Operating Agreement |
| <input type="checkbox"/> Change of Officers/
Directors/LLC Managers | <input type="checkbox"/> Change of Ownership Interest
(LLC Members/ LLP Partners,
Trustees) | <input type="checkbox"/> Issuance/Transfer of Stock/New Stockholder | <input type="checkbox"/> Change of Hours |
| | | <input type="checkbox"/> Other <input type="text"/> | <input type="checkbox"/> Change of DBA |

THE LOCAL LICENSING AUTHORITY MUST MAIL THIS
 TRANSMITTAL FORM ALONG WITH
 COMPLETED APPLICATION, AND SUPPORTING DOCUMENTS TO:

**Alcoholic Beverages Control Commission
 95 Fourth Street, Suite 3
 Chelsea, MA 02150-2358**



Town of Franklin, MA
Department of the Town Clerk
355 East Central Street, Franklin, MA 02038

Date Issued: April 17, 2019

BUSINESS VERIFICATION CERTIFICATE

In conformity with the provisions of Chapter 110, Section 5 of the General Laws, as amended, the undersigned hereby declare(s) that a business under the title of:

La Cantina Winery Company is conducted at: 355 & 357 Union St

by the following person:

FULL NAME

Robert A. Vozzella

RESIDENCE

355 Union St, Franklin, MA 02038

A certificate issued in accordance with this section shall be in force and effect for four years from the date of issue and shall be renewed each four years thereafter so long as such business shall be conducted and shall lapse and be void unless so renewed.

Expiration Date: 04-17-2023

Business Owner Signature #1

A True Attest Copy

Business Owner Signature #2

Teresa M. Burr
Town Clerk

To learn more, scan this barcode or visit franklinma.viewpointcloud.com/#!/records/40174





CERTIFICATE OF COMPLIANCE WITH STATE LAWS

Pursuant to M.G.L Chapter 62C, Sec 49A, and M.G.L. Ch. 151A, Section 19A, the undersigned acting on behalf on the License Holder, certifies under the penalty of perjury that, to the best of the undersign's knowledge and belief, the License Holder is in compliance with all laws of the Commonwealth of Massachusetts relating to taxes, reporting of employees and contractors, and withholding and remitting child support*.

Robert Uzzezza

** Signature of Individual or Corporate License Holder (Mandatory)

*** License Holder's Social Security Number/or Federal Identification Number

By: *Robert Uzzezza*

Corporate Officer
(Mandatory, if applicable)

Date: *4/6/21*

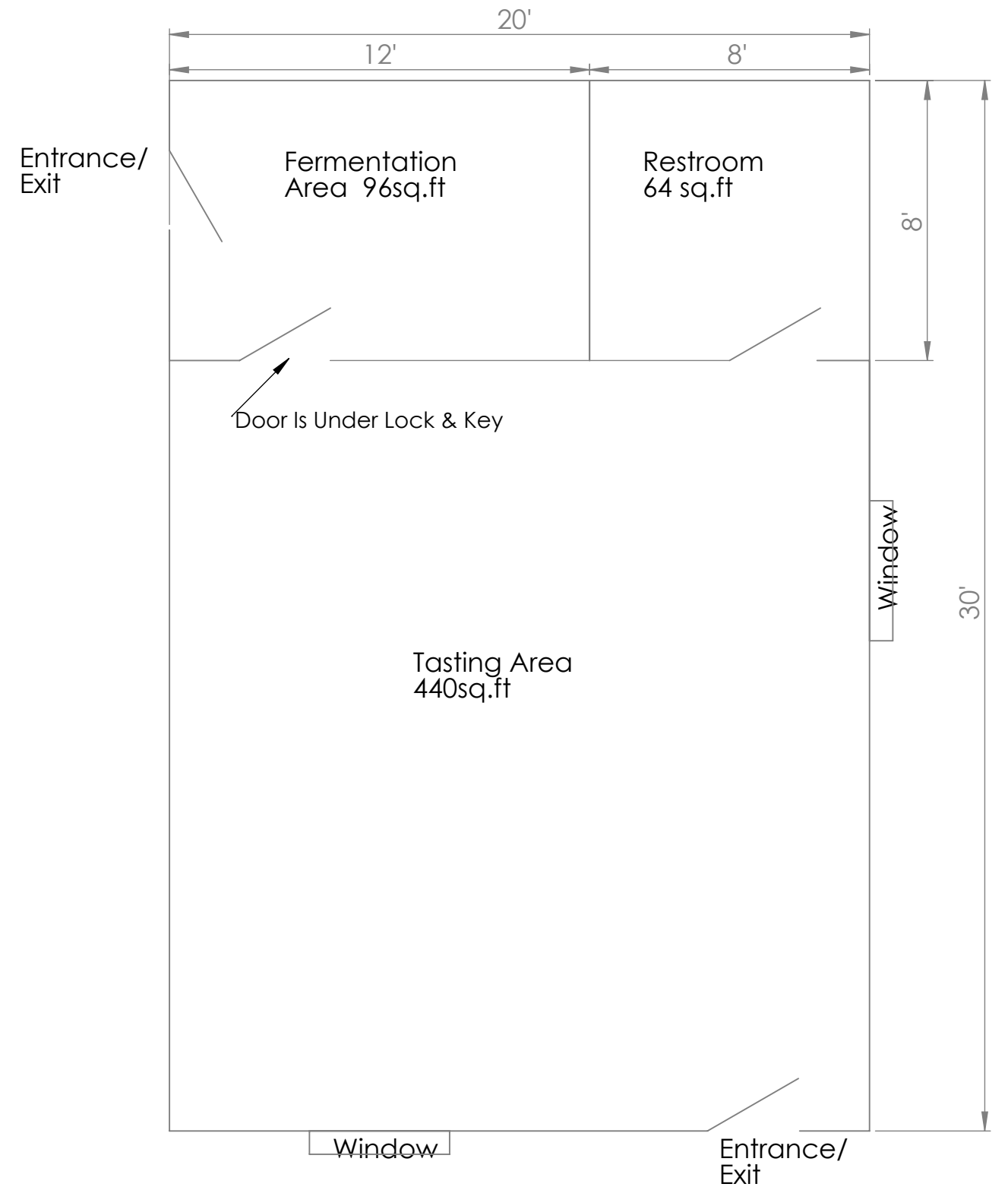
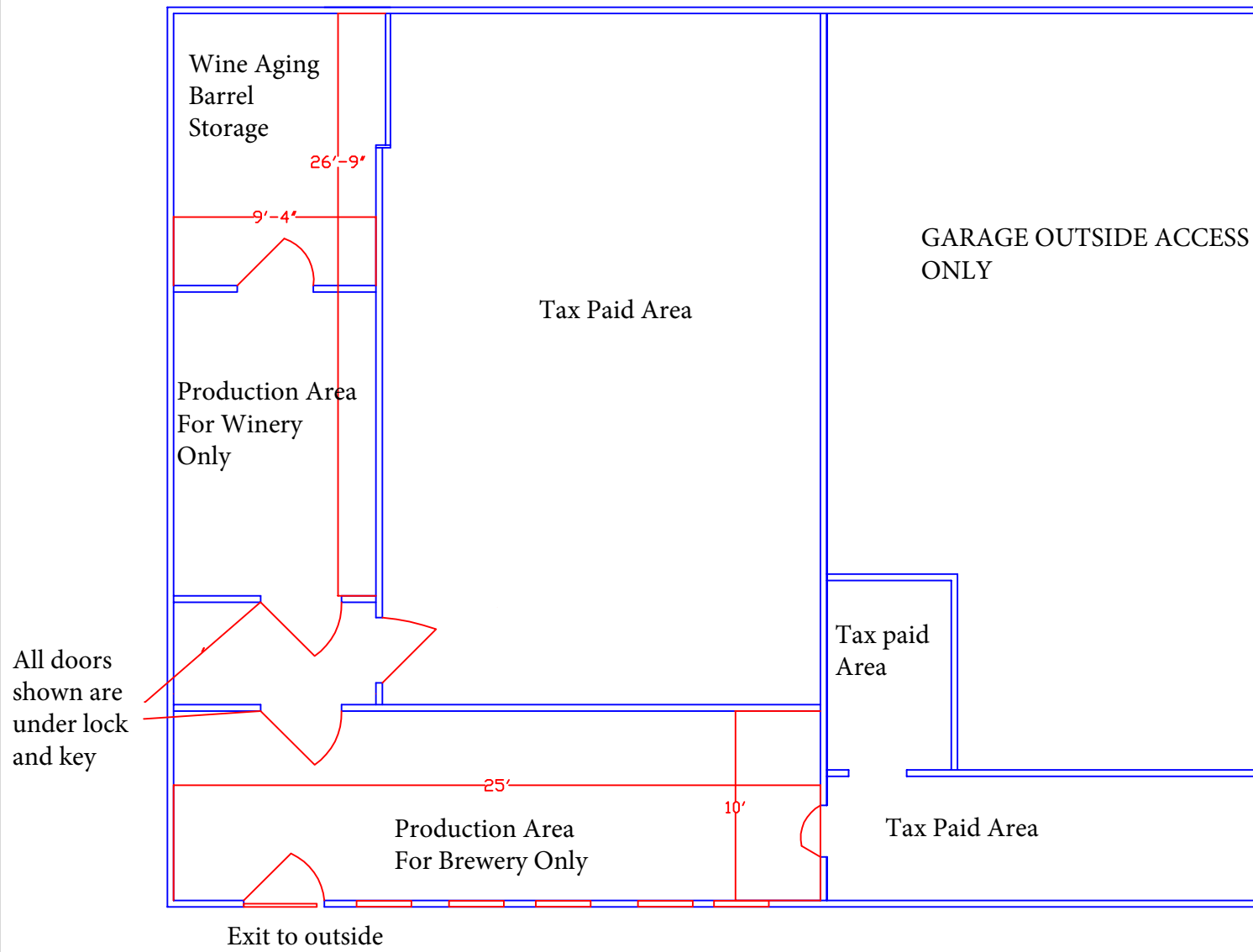
*The provision in the Attestation of relating to child support applies only when the License Holder is an individual.

**Approval of or a renewal of a license will not be granted unless this certification clause is signed by the applicant. For all corporations, a certified copy of the vote of the Board of Directors must be provided.

*** Your social security number will be furnished to the Massachusetts Department of Revenue to determine whether you have met tax filing or tax payment obligations. Providers who fail to correct their non-filing or delinquency will not have a license or other agreement issued, renewed or extended. This request is made under the authority of Massachusetts General Laws, Chapter 62C, section 49A.

La Cantina
355 Union St Franklin MA. 02038

La Cantina
357 Union St Franklin MA. 02038



	Glass/Bottle
Pinot Noir.....	\$12/34
Sangiovese.....	\$12/28
Barbera.....	\$12/35
Merlot.....	\$10/25
Carmenere.....	\$10/25
Malbec.....	\$10/25
Primitivo	\$10/30
Cabernet Sauvignon.....	\$10/28
Cabernet Sauvignon Reserve....	\$13/40
Super Tuscan	\$12/35
(Sangiovese /Cabernet/Merlot)	
Tres Amigos	\$10/26
(Carmenere/Cabernet/Merlot)	
Synergy.....	\$10/25
(Cabernet/Malbec)	
5 Seeds Red Blend.....	\$10/30
(Cabernet/Barbera/Merlot/Sangiovese/Pinot Noir)	

WHITES

	Glass/Bottle
Sauvignon Blanc.....	\$8/22
Chardonnay.....	\$8/22
Riesling.....	\$8/22

Robert A. Vozzella

Objective Utilize strong successful managerial experience to build a lean manufacturing environment. Establish safety, quality and financial operational standards to produce positive impact on overall performance of the organization.

Education 1994 – 1998 Wentworth Institute of Technology Boston, MA
Bachelor of Science in Manufacturing Engineering Technology

Professional Experience December 2017 – Present MGB US INC Franklin, MA
VP Of Operations

- Manage US operations of a multinational organization.
- Increased sales in year one to 35%.
- Achieved AS9100:D Aerospace QMS certification in 9 months.
- Manage cash flow and projections for sustained probability.
- Manage all HR responsibilities and hiring.
- Manage all KPI's to world class standards of 98%
- Manage quoting, sales, and direct customer relations for US Operations.

July 2016 – December 2017 Globe Composite Solutions Stoughton, MA
VP of Operations

- Member of executive team that developed the five-year strategic plan and presented plan to the Investment Team.
- Created annual operating and capital expenditure budgets and managed performance to budget.
- Led the implementation of a quality improvement initiative to track and improve first pass yield from 50% to consistently ranging between 80% and 95%, across all operations.
- Led the implementation of visual management, including team boards and day-by-hour boards to drive improvements in throughput between 15% and 35%.
- Manage lean improvement efforts and cross functional teams that directly eliminate waste and improve safety, quality, delivery and cost.

May 2010 – July 2016 TE Connectivity Norwood, MA
Manufacturing Manager

- Manage operations and personnel that support a global machining operation with 140 pieces of equipment and 120 associates.
- Led teams that focus on Safety, Quality, Delivery, and Cost.
- Manage lean improvement efforts to achieve Star 5 standards across functional teams that directly eliminate waste and improve the process throughout the facility.
- Review budgetary earning numbers and meet quarterly demands.
- Improved on time delivery by 25% by streamlining the production flow.
- Improved average cycle times by 10% by leading innovation teams.
- Led migration efforts that involved a transfer of 35 machines, at a rate of 4 machines a month.
- Key member of a Manufacturing Caucus at the state level.

January 2006 – April 2010 Cole Hersee Company

Boston, MA

Manufacturing Engineering and Facilities Manager

- Manage a team of Manufacturing Engineers at the Boston and Mexico facility to continuously improve on time delivery, reduce manufacturing cost, improve quality, reduce waste, and develop new products.
- Transferred all of manufacturing operations at the Mexico facility.
- Transferred all Warehouse Distribution to Texas.
- Manage the Maintenance Department in applying a system that rebuilds and repairs equipment prior to breakdown. Improving the skills of the staff by training.
- Managing facility maintenance and managing contractors.
- Manage the Electro-Plating Department. Testing chemicals, training operators in Hazardous Waste, and improving the flow of material in order to reduce scrap, rework and improve lead-time.

April 2001 – January 2006

Cole Hersee Company

Boston, MA

Sr. Manufacturing Engineer

- Trained production associates in Lean Manufacturing, 5S, Team Building, Documentation, SPC, Problem Solving, and IPC Solder training.
- Led cross functional new product development teams for manufacture.
- Trained office personnel in Lean Principals and 5S. Developed a “How To” manual for the Customer Service and Order Entry Departments.
- Improved setup throughput by 35% in the Screw Machine Department.
- Assist in managing maintenance personnel. Performed 5S events in the department. Setup aspare parts system for allequipment.
- Lead member in the safety committee. Perform safety walks throughout the plant with the insurance company
- Relocated the Distribution Department into the main building. Included complete building renovation. Managed temporary employees to assist in the move.
- Assisting the company in relocating to Mexico. Rebuilding manufacturing cells, tools, and equipment to ship to Mexico. Training Mexico employees in Lean and Continuous Improvement. Provide support to the Mexico facility daily. Frequent travel to Mexico to assist in transformations.

October 1998 – April 2001

Cole Hersee Company

Boston, MA

Manufacturing Engineer

- Studied Lean Manufacturing principals, designed and built cells, led continuous improvement teams and Kaizenevents.
- Transformed the Distribution Department to LeanPrincipals
- Attended Lean Thinking Seminars, Hazardous Waste Certifications, Ergonomic Principals, IPC Solder Certification and Fire Safety training.

Instructor Experience

Sept. 1998 – 2019

Wentworth Institute of Technology

Boston, MA

Instructor of a Machine Tool Setup and Operation Course

- Developed a 5 level machining manufacturing course.
- Instruct adult students in a fifteen-week course on setup and operation of CNC lathes and milling machines in a continuing education program, at the college level.
- Course covers: hands on machining operations, safety, blueprint reading, speeds, feeds, elements of quality control, and an introduction to computer numerical control machining.

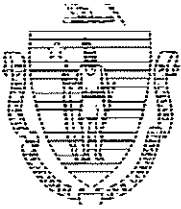
January 2005–2019

Wentworth Institute of Technology

Boston, MA

Instructor of a Manufacturing Processes Course

- A fifteen week 4 credit college level course covers all aspects of Manufacturing Processes. Topics covered are machining, welding, casting, sheet metal, and computer numerical control machining.
- Instruct 20+ college students in both classroom lectures and hands on applications. Including exams, practical applications, homework, and counseling.



The Commonwealth of Massachusetts
 Department of Industrial Accidents
 Office of Investigations
 600 Washington Street
 Boston, MA 02111
 www.mass.gov/dia

Workers' Compensation Insurance Affidavit: General Businesses

Applicant Information

Please Print Legibly

Business/Organization Name: La Cantina Winery

Address: 355 Union St

City/State/Zip: Franklin MA 02038 Phone #: _____

Are you an employer? Check the appropriate box:

- 1. I am an employer with _____ employees (full and/or part-time).*
- 2. I am a sole proprietor or partnership and have no employees working for me in any capacity. [No workers' comp. insurance required]
- 3. We are a corporation and its officers have exercised their right of exemption per c. 152, §1(4), and we have no employees. [No workers' comp. insurance required]**
- 4. We are a non-profit organization, staffed by volunteers, with no employees. [No workers' comp. insurance req.]

Business Type (required):

- 5. Retail
- 6. Restaurant/Bar/Eating Establishment
- 7. Office and/or Sales (incl. real estate, auto, etc.)
- 8. Non-profit
- 9. Entertainment
- 10. Manufacturing
- 11. Health Care
- 12. Other Winery

*Any applicant that checks box #1 must also fill out the section below showing their workers' compensation policy information.

**If the corporate officers have exempted themselves, but the corporation has other employees, a workers' compensation policy is required and such an organization should check box #1.

I am an employer that is providing workers' compensation insurance for my employees. Below is the policy information.

Insurance Company Name: _____

Insurer's Address: _____

City/State/Zip: _____

Policy # or Self-ins. Lic. # _____ Expiration Date: _____

Attach a copy of the workers' compensation policy declaration page (showing the policy number and expiration date).

Failure to secure coverage as required under Section 25A of MGL c. 152 can lead to the imposition of criminal penalties of a fine up to \$1,500.00 and/or one-year imprisonment, as well as civil penalties in the form of a STOP WORK ORDER and a fine of up to \$250.00 a day against the violator. Be advised that a copy of this statement may be forwarded to the Office of Investigations of the DIA for insurance coverage verification.

I do hereby certify under the pains and penalties of perjury that the information provided above is true and correct.

Signature: [Handwritten Signature] Date: 4/6/21

Phone #: _____

Official use only. Do not write in this area, to be completed by city or town official.

City or Town: _____ Permit/License # _____

Issuing Authority (circle one):

- 1. Board of Health
- 2. Building Department
- 3. City/Town Clerk
- 4. Licensing Board
- 5. Selectmen's Office
- 6. Other _____

Contact Person: _____ Phone #: _____