License Transactions:



Applicant: La Cantina Winery, 357 Union Street

The applicant is seeking a new Farmer Series Winery Pouring license to be located at 357 Union Street. The License Manager will be Robert Vozzella.

MOTION to approve the request by La Cantina Winery for a new Farmer Winery Series Pouring License and approve the Manager, Robert Vozzella.

DATED: , 2017	7
-	VOTED:
	UNANIMOUS
	YES NO
A True Record Attest:	ABSTAIN
	ABSENT
Teresa M. Burr	
Town Clerk	
	Judith Pond Pfeffer, Clerk
	Franklin Town Council



The Commonwealth of Massachusetts Alcoholic Beverages Control Commission

→ For Reconsideration	n
-----------------------	---

LOCAL LICENSING AUTHORITY REVIEW RECORD

		Franklin		07/10/2017	
ABCC License Number		City/Town		Date Filed w	th LLA
TRANSACTION TYPE (Please check	all relevant transactions):				`
New License	Change Corporate Name	Pledge of Collateral (i.e. Licen	ļ.,	hange Corporate Structure	(i.e. Corp / LLC)
Transfer of License	Change of DBA	Change of Class (i.e. Annual / S	(easonal)	hange of Hours	
Change of Manager	Alteration of Licensed Premise	es Change of License Type (i.e.	club / restaurant)	ssuance/Transfer of Stock/N	lew Stockholder
Change of Beneficial Interest	Change of Location	Change of Category (i.e. All A	lcohol/Wine, Malt) \[\] \[\]	Management/Operating Ag	reement
APPLICANT INFORMATION					
			1D (A		
Name of Licensee La Cantina Wi	nery Company	L.	D/B/A		
ADDRESS: 355 Union Street		CITY/TOWN: Franklin	STATE	MA ZIP CODE	02038
Manager Robert A. Vozzella				Granted under Ye Special Legislation?	s No 🗙
				If Yes, Chapter	
§19 Farmer Series Pouring Per	mit Annual V	Vines		of the Acts of (year)	
Type (i.e. restaurant, package store)	<u>Class</u> (Annual or Seasonal)	<u>Category</u> (i.e. Wines and Malts / All Alco	hol)	`	
LOCAL LICENSING AUTHORITY DEC	CISION				
Please indicate the decision of the	<u></u>		ase indicate what days the licensee will sell a		:00pm to
Local Licensing Authority:	Approves this Application			[d.00piii	
If Approving With Modifications,	, please indicate below what o	changes the LLA is making:			
Please indicate if the LLA is	o de de Promises Descrip	Indoor Area	1 1	or Number Square Footage	Number of Rooms
Cotegory (energying only Wings	anges to the Premises Descrip	ption Total Square Footage		1 600	2 .
and Malte if applicant applied for All Tal	io/Deck/Outdoor Area tal Square Footage	Number of Entrances	1		
	Seating Capacity	Number of Exits	1		
Abutters Notified: Yes	No Date of Abu	utter [Date of		
Abutters Notified. Yes X	Notification		Advertisement	07/14/2017	
Please add any additional remarks or					
conditions here:					
Check her	e if you are attaching additional	l documentation			
The Local Licensing Authorities By	, -		Alcohol	ic Beverages Control Commissi Ralph Sacramone	on
The Local Licensing Nationales by	,.			Executive Director	
					<u>.</u>
	08/09/2017	7			
	Date APP	ROVED by LLA			



JUL 1 0 2017



The Commonwealth of Massachusetts Alcoholic Beverages Control Commission 239 Causeway Street Boston, MA 02114 www.mass.gov/abcc

TOWN ADMINISTRATOR TOWN OF FRANKLIN

APPLICATION FOR A RETAIL ALCOHOLIC BEVERAGES LICENSE

Please complete this entire application, leaving no fields blank. If field does not apply to your situation, please write N/A.

1. NAME OF PROPOSED LIC	ENSEE (Business Contact	La Cantina Wir	nery Company	
This is the corporation or LLC which will hold the i corporation or other legal entity, you may enter yo	icense, not the individual submitting our personal name here.	this application. If you a	re applying for this license as	s a sole proprietor, <u>not</u> an LLC,
2. RETAIL APPLICATION INF	ORMATION	With the second second		
There are two ways to obtain an alcoh license through a transfer or by applyl	olic beverages license in the	Commonwealth o	f Massachusetts, eithe	er by obtaining an existing
Are you applying for a new license or the transfer of an existing license? If applying for a new license, are you a			ng, please Indicate the IC license number you to obtain:	
pursuant to special legislation?		If termefourt	ng, by what method	
C Yes © No Chapter 19	Acts of H		e being transferred?	
3. LICENSE INFORMATION /	QUOTA CHECK			
			Off-Premises	_
City/Town Franklin		On-	Premises	
TYPE	CATEGORY			CLASS
Farmer Series Pouring Permit	Wines			Annual
			·	
4. APPLICATION CONTACT	***************************************			
The application contact is required a	and is the person who will	be contacted with	any questions rega	rding this application.
First Name: Robert	Middle: A	Last	Name: Vozzella	
Title: Owner		Primary Pho	one: 617-851-5127	
Email: bob@lacantinawinery.com				
5. OWNERSHIP Please list all Individ An individual or entity has a <u>direct beneficial ir</u> owns Smith LLC, a licensee, John Smith has a di	iterest in a license when the indivi	idual or entity owns or		
An individual or entity has an indirect beneficial how removed from direct ownership, 2) any for operation. For Example, Jane Doe owns Doe Holicense.	rm of control over part of a license	no matter how attend	iated, or 3) otherwise ben	elits in any way from the Ikense's
NECTION .				
A. All Individuals listed below are re B. All entitles listed below are requ C. Any Individual with any ownersh	equired to complete a <u>Beneficial</u> ired to complete a <u>Beneficial Int</u>	erest Contact - Organ	ization form.	RI Release Form.
B. All entitles listed below are requ	equired to complete a <u>Beneficial</u> ired to complete a <u>Beneficial Int</u>	erest Contact - Organ posed manager of rec	ization form.	RI Release Form, Other Beneficial Interest
B. All entitles listed below are requ C. Any individual with any ownersh	equired to complete a <u>Beneficia</u> Ired to complete a <u>Beneficial Int</u> ip in this license and/or the proj	erest Contact - Organ posed manager of rec	<u>ization</u> form. ord must complete a <u>CO</u>	

5. OWNERSHIP (cont	inued)	1								
Name		T	itle / Position			% Owned		Other Be	neficial in	terest
				ā.					La company of the same of the	
	2									
								<u> </u>		
6. PREMISES INFOR	MATION			-		-4				
Please enter the address		nholic bevera	ges are sold							
	AMIETE CHE DIE		ges and out	-						
Premises Address							1			
Street Number: 355/35	7 9	itreet Name:	Union St				l	Init:		
			State:	MA		Zip Co	do.	02038		7
City/Town: Franklin			State:	IVIA		ziρco	uc.	02030		J
Country:	JSA									
	The state of the s									
Description of Premis										
Please provide a comple	te description (of the premis	es, including	the numb	ber of flo	oors, number	of ro	oms on ead	ch floor, a	ny
outdoor areas to be incl	uded in the lice	nsed area, ar	nd total squa							
Floor Number Sc	uare Footage	Number	of Rooms] P	atio/Dec	k/Outdoor Are	ea To	tal Square Fo	ootage	
1	600	2] ,	adaas As	an Total Cours	a Eac	tana	60	n
				Indoor Area Total Square Footage						
] N	lumber d	of Entrances			1	
]						
			,	, N	lumber o	of Exits			ը	
			110000	,	roposed	Seating Capa	city		10	
				1 .	Ichorea	seams eabe	,		-	
				P	roposed	Occupancy			25	i
	70-120-1									
Occupancy of Premise	<u>!5</u>						_			
Please complete all field	s in this section	i. Document	ation showir	ng proof o	f legal o	ccupancy of t	he p	remises is r	equired.	
nt I-dieste busubat	riaht tha				,					
Please indicate by what applicant has to occupy		Lease			Landlo	rd Name Mus	ic Str	eet Realty, L	LC	
			_		Landlo	rd Phone		508-498-35	552	
Lease Beginning Term	April 1, 2017				EBIIGIO	o mone				
			Ī		4 41	-1 A dalamaa				
Lease Ending Term					Landioi	rd Address				
Rent per Month	700.00		7							
verir her mount	1 40100		_ If leasi	ing or renti	ing the p	remises, a sigr	ned c	opy of the le	ase is requ	ired.
Rent per Year	8,400.00		If the	lease is co	ntingen	t on the appr	oval	of this licen	se, and a	signed
5			lease	is not avail	able, a c	opy of the un	signe	d lease and	a letter of	intent
*					-	plicant and th			uirea.	
Please indicate if the ter	ms of the lease	include payr	nents based	on the sa	le of alc	onol: (Yes	(No No		

. BUSINESS	CO	NTACT	•						4.1		191	Lu bald l	hu an int	liubiuil
he Business Co	ntae	t is the propo	sed licensee.	If you a	re apply	ing as a me.	s Sole Pro	prietor	(the	license	WII	i pe bein i	by an inc	, ומטטועונו
not a business),	γου	• Please se	e last page of a	pplication	on for rec	uired c	locument	s based	on Le	egal Stru	ıctur	е «		
Entity Name: L	a Ca	ntina Winery C			,	,				FEIN:	Ŀ			
DBA:]		Fax	Number	:					
Primary Phone:			•] En	nail:	bob@l	acantina	wine	ery.com				
Alternative Phor	ne: [Le	gal Stru	ucture of I	ntity C	orpo	ration				
Business Addre	ss ((Corporate Head	iquarters)	Check	k here If y	our Bus	iness Addr	ess is the	sam	e as you	r Pre	mises Addi	ress	1
Street Number:	35	5		Street	Name:	Union	St							<u> </u> -
City/Town:	rank	lin				Sta	ite:	MA						
Zip Code: 02	038			Count	ry:		USA							
Malling Addres	is s			X Chec	k here if y	our Ma	iling Addr	ess is the	sam	e as your	Prei	mises Addr	ess	7
Street Number:				Street	Name:									
City/Town:							State:							
Zip Code:				Count	try:									
Is the Entity a I Corporation?	Vias:	achusetts	€ Yes ÇN	lo	do l	ousines	Entity ross in Mass	sachuse	etts? r	C	Yes	C No]
Other Benefici Does the proportion	osed	licensee have	e a beneficial i	nterest	in any (Yes	C No	If yes,	, plea			he following		
Name o	of Lic	ense	Type of Li	cense	Lie	ense N	umber					ses Addre		A 44 - 1919
Farme			§19B Farme	r-Winery	Age Const	FW-1	10		355	5/357 Un	lon	St Franklir	MA 020	38
	-						,							
Prior Disciplin	ary /	Action:	are award hy	the pro	nosed li	censes	ever bee	en discir	oline	d for an	alc	ohol relat	ted viola	tion?
	OHC E	Name of Lice	The state of the s	te City		Reason	for suspe	nsion, re	evoc	ation or	cano	ellation		
Date of Action na		name of Lice	na na	na		กล					A A			15 July 100
									-					1

1999-2010 Engineer Cole Hersee Company 20 State College	MANAGER	CONTACT				the street sector over	the lique	r license
Alternative Phone: Place of Employment Globe Composite Solutions	he Manager Cont	tact is required :	and is the indiv	idual who wil	ll have day-to-	day, operational control over		
Primary Phone: Famall:	alutation MR	First Name Ro	bert .	Middle N	ame A	Last Name Vozzella	:	Suffix
Mobile Phone: Place of Employment Globe Composite Solutions	ocial Security Nun	nber			Date of Birth			
Alternative Phone: Fax Number Residency / Background Information of Proposed Manager Are you a U.S. Citizen? © Yes No Do you have direct, indirect, or financial interest in this license? © Yes No No No No No No No N	rimary Phone:	,		ſ	Email: bo			
Are you a U.S. Citizen?	Mobile Phone:		<i>y</i> -	1	Place of Employ	ment Globe Composite Solut	tions	
Are you a U.S. Citizen?	Alternative Phone:	r			Fax Number	na		•
Are you a U.S. Citizen?	itizenship / Resid	lency / Backgro	und Informatio	n of Propose	d Manager			
If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) If yes, please Indicate type of Interest (check all that apply) It yes, please Indicate type of Interest (check all that apply)					1	Do you have direct, indirect, or financial interest in this license?	€ Yes	C No
Stockholder LLC Manager	ederal, or military	crime?					terest (che	
Farmer Winery Licenses Farmer Winery Licenses Partner Revenue Sharin Contractual Revenue Sharin Management Agreement Other	lave you ever bee icense to sell alcol	n Manager of Re holic beverages?	cord of a	Yes (No		Stockholder	☐ rr	C Manager
Employment Information of Proposed Manager Please provide your employment history for the past 10 years Date(s) Position Employer Address Phone 1999-2010 Engineer Cole Hersee Company 20 Old Colony Ave Boston MA 617-268-21 2010-2016 Manager TE Connectivity 63 Nahatan St Norwood MA 781-278-52 2016-Current Manager Globe Composite Solutions 200 Shuman Ave Stoughton MA 781-681-68 1999-Current Professor Wentworth Institute of Technolc 550 Huntington Ave Boston MA 617-989-43 Prior Disciplinary Action of Proposed Manager Have you ever been involved directly or indirectly in an alcoholic beverages license that was subject to disciplinary action? If yes, please complete the following: Date of Action Name of License State City Reason for suspension, revocation or cancellation	for which you are I	the <u>current</u>	Farmer Winery	Licenses FW-	110	Partner Contractual	☐ La	ndlord evenue Sharing
Please provide your employment history for the past 10 years Date(s) Position Employer Address Phone 1999-2010 Engineer Cole Hersee Company 20 Old Colony Ave Boston MA 617-268-21 2010-2016 Manager TE Connectivity 63 Nahatan St Norwood MA 781-278-52 2016-Current Manager Globe Composite Solutions 200 Shuman Ave Stoughton MA 781-681-68 1999-Current Professor Wentworth Institute of Technolc 550 Huntington Ave Boston MA 617-989-43 Prior Disciplinary Action of Proposed Manager Have you ever been involved directly or indirectly in an alcoholic beverages license that was subject to disciplinary action? I yes, please complete the following: Date of Action Name of License State City Reason for suspension, revocation or cancellation	Please Indicate ho	ow many hours p	er week you inte	end to be on th	he licensed prei	nises 40		
Date(s) Position Employer Actions 1999-2010 Engineer Cole Hersee Company 20 Old Colony Ave Boston MA 617-268-21 2010-2016 Manager TE Connectivity 63 Nahatan St Norwood MA 781-278-52 2016-Current Manager Globe Composite Solutions 200 Shuman Ave Stoughton MA 781-681-68 1999-Current Professor Wentworth Institute of Technolc 550 Huntington Ave Boston MA 617-989-43 Prior Disciplinary Action of Proposed Manager Have you ever been involved directly or indirectly in an alcoholic beverages license that was subject to disciplinary action? If yes, please complete the following: Date of Action Name of License State City Reason for suspension, revocation or cancellation	Employment Info	ormation of Pro	posed Manage	<u>er</u> e nast 10 vea	ırs			
1999-2010 Engineer Cole Hersee Company 20 Old Colony Ave Boston MA 617-268-21 2010-2016 Manager TE Connectivity 63 Nahatan St Norwood MA 781-278-52 2016-Current Manager Globe Composite Solutions 200 Shuman Ave Stoughton MA 781-681-68 1999-Current Professor Wentworth Institute of Technolc 550 Huntington Ave Boston MA 617-989-43 Prior Disciplinary Action of Proposed Manager Have you ever been involved directly or indirectly in an alcoholic beverages license that was subject to disciplinary action? If yes, please complete the following: Date of Action Name of License State City Reason for suspension, revocation or cancellation		our employmen	tion	Emp	loyer	Address		
2010-2016 Manager TE Connectivity 63 Nahatan St Norwood MA 781-278-52 2016-Current Manager Globe Composite Solutions 200 Shuman Ave Stoughton MA 781-681-68 1999-Current Professor Wentworth Institute of Technolc 550 Huntington Ave Boston MA 617-989-43 Prior Disciplinary Action of Proposed Manager Have you ever been involved directly or indirectly in an alcoholic beverages license that was subject to disciplinary action? I yes, please complete the following: Date of Action Name of License State City Reason for suspension, revocation or cancellation Date of Action Name of License State City Reason for suspension, revocation or cancellation Date of Action Name of License State City Reason for suspension, revocation or cancellation Date of Action Name of License State City Reason for suspension, revocation or cancellation Date of Action Name of License State City Reason for suspension, revocation or cancellation Date of Action Name of License State City Reason for suspension, revocation or cancellation Date of Action Name of License State City Reason for suspension, revocation or cancellation Date of Action Name of License State City Reason for suspension, revocation or cancellation Date of Action Date o			-					617-268-2100
2016-Current Manager Globe Composite Solutions 200 Shuman Ave Stoughton MA 781-681-681-681-681-681-681-681-681-681-6		The state of the s			the same of the sa			781-278-5274
Prior Disciplinary Action of Proposed Manager Have you ever been involved directly or indirectly in an alcoholic beverages license that was subject to disciplinary action? July person of Action Name of License State City Reason for suspension, revocation or cancellation				Globe Compo	site Solutions			781-681-6843
Have you ever been involved directly or indirectly in an alcoholic beverages licelise that was subject to disciplinary, yes, please complete the following: Date of Action Name of License State City Reason for suspension, revocation or cancellation	The same of the same of the same			entworth Insti	itute of Techno	550 Huntington Ave Bosto	on MA	617-989-4309
Date of Action Name of License State City Reason for suspension, revocation or cancellation	Have you ever be	een involved dir	rectly or indired	r tly in an alco	holic beverage	es license that was subject to	disciplina	ary action? If
Date of Action Name of Action Page 103				e ICity	Reason for st	ispension, revocation or cancell	ation	

Robert A. Vozzella

Certificates

STOP Certification expires May 15, 2019

ETIPS Certification no expiration

Education

1994 – 1998 Wentworth Institute of Technology

Boston, MA

Bachelor of Science in Manufacturing Engineering Technology

2013 - 2015

UC Davis Of California

Davis, CA

Degree in Enology: Winemaking Certificate Program

Professional Experience May 2015 - Present La Cantina Winery Company

Franklin, MA

Owner of La Cantina Winery Company

July 2016 - Present

Globe Composite Solutions

Stoughton, MA

VP of Operations

- Member of executive team that developed the five-year strategic plan and presented plan to Board.
- Created annual operating and capital expenditure budgets and managed performance to budget.
- Led the implementation of a quality improvement initiative to track and improve first pass yield from 50% to consistently ranging between 80% and 95%, across all operations.
- Led the implementation of visual management, including team boards and day-by-hour boards to drive improvements in throughput between 15% and 35%.
- Manage lean improvement efforts and cross functional teams that directly eliminate waste and improve safety, quality, delivery and cost.

May 2010 - July 2016 TE Connectivity

Norwood, MA

Manufacturing Manager

- Manage operations and personnel that support a global machining operation with 140 pieces of equipment and 120 associates.
- Led teams that focus on Safety, Quality, Delivery, and Cost.
- Manage lean improvement efforts and cross functional teams that directly eliminate waste and improve the process throughout the facility.
- Review budgetary earning numbers and meet quarterly demands.
- Led migration efforts that involved a transfer of 35 machines, at a rate of 4 machines a month.
- Key member of a Manufacturing Caucus at the state level.

9. FINANCIAL INFORMATION				- 2	
Please provide information about assoc this license.	lated costs of	Please provide information			cash and/or
Associated Costs		Source of Cash Investn	<u>ient</u>	•	
A. Purchase Price for Building/Land		Name of Contributor	A	mount of Contribution	n
		personal funds		7000	
B. Purchase Price for any Business Assets	500			1, 11 91911	
C, Costs of Renovations/Construction	2000				-
D. Purchase Price of Inventory	2500		otal	7000	
E. Initial Start-Up Costs	2000	Source of Financing			
F. Other (Please specify)		Name of Lender	Amount	Does the lender hold an interest in any MA alcoholic beverages licenses?	If yes, please provide ABCC license number of lender
G. Total Cost (Add lines A-F)	7000	na	na	no	
Please note, the total amount of Cash Investments of the total amount of Financing (bottom ripequal to or greater than the Total Cost ()	ght table) must be			Total:	
10. PLEDGE INFORMATION		To whom is the pledge is b	eina ma	sde:	
Are you seeking approval for a pledge? (Please indicate what you are seeking to ple License Stock / Beneficial Intere		Does the lender have a ber license? Does the lease require a plo	reficial i	nterest in this	Yes (No

ADDITIONAL SPACE

The following space is for any additional information you wish to supply or to clarify an answer you supplied in the application.

If referencing the application, please be sure to include the number of the question to which you are referring.

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APPLICANT'S STATEMENT

i, Robert Vozzella the: Sole proprietor; partner; Corporate principal; LLC/LLP memb	er .
Authorized Signatory	
of La Cantina Winery Company , hereby submit this application for Farmer Series Pouring Permit	
of La Cantina Winery Company , hereby submit this application for (rames santas social for Name of the Entity/Corporation), hereby submit this application for (rames santas social for Santas	
(hereinafter the "Application"), to the local licensing authority (the "LLA") and the Alcoholic Beverages Control Com "ABCC" and together with the LLA collectively the "Licensing Authorities") for approval.	mission (the
April and together with the amendment of	mitted in the
I do hereby declare under the pains and penalties of perjury that I have personal knowledge of the information sub Application, and as such affirm that all statement and representations therein are true to the best of my knowled I further submit the following to be true and accurate:	
(1) I understand that each representation in this Application is material to the Licensing Authorities' decision of Application and that the Licensing Authorities will rely on each and every answer in the Application and according to decision;	
 I state that the location and description of the proposed licensed premises does not violate any requireme ABCC or other state law or local ordinances; 	
(3) I understand that while the Application is pending, I must notify the Licensing Authorities of any change in information submitted therein. I understand that failure to give such notice to the Licensing Authorities m disapproval of the Application;	
(4) I understand that upon approval of the Application, I must notify the Licensing Authorities of any change in Application information as approved by the Licensing Authorities. I understand that failure to give such no Licensing Authorities may result in sanctions including revocation of any license for which this Application	is submitted;
(5) I understand that the licensee will be bound by the statements and representations made in the Application but not limited to the identity of persons with an ownership or financial interest in the license;	m, including,
(6) I understand that all statements and representations made become conditions of the license;	
(7) I understand that any physical alterations to or changes to the size of, the area used for the sale, delivery, consumption of alcoholic beverages, must be reported to the Licensing Authorities and may require the p of the Licensing Authorities;	
(8) I understand that the licensee's failure to operate the licensed premises in accordance with the statement representations made in the Application may result in sanctions, including the revocation of any license for Application was submitted; and	
(9) I understand that any false statement or misrepresentation will constitute cause for disapproval of the Apsauctions including revocation of any license for which this Application is submitted.	plication or
Signature: Robert Vozzella Date: 6/29/17	
Title: Owner	

ALCOHOLIC BEVERAGES CONTROL COMMISSION

BENEFICIAL INTEREST CONTACT - Individual (Formerly known as a Personal Information Form) Please complete a Beneficial Interest - Individual sheet for all individual(s) who have a direct or indirect beneficial interest, with or without ownership, in this license. This includes people with a financial interest and people without financial interest (i.e. board of directors for not-for-profit clubs). All individuals with direct or indirect financial interest must also submit a CORI Authorization Form. An individual with direct beneficial interest is defined as someone who has interest directly in the proposed licensee. For example, if ABC Inc is the proposed licensee, all individuals with interest in ABC Inc are considered to have direct beneficial interest in ABC Inc (the proposed licensee). An individual with indirect beneficial interest is defined as someone who has ownership in a parent level company of the proposed licensee. For example, if ABC Inc is the proposed licensee and is 100% owned by XYZ Inc, all individuals with interest in XYZ Inc are considered to have an indirect beneficial interest in ABC Inc (the proposed licensee). Last Name Vozzella Suffix Middle Name | A First Name | Robert Salutation Date of Birth Social Security Number Owner Title: bob@lacantinawinery.com Email: Primary Phone: na Fax Number Mobile Phone: Alternative Phone: |na **Business Address** Union st Street Name: 355 Street Number: MA State: Franklin City/Town: USA Country: 02038 Zip Code: **Mailing Address** Street Name: Street Number: State: City/Town: Country: Zip Code: Types of Interest (select all that apply) ☐ LLC Manager ☐ Landlord □ Director ☐ Contractual Officer ☐ Management Agreement LLC Member ☐ Other ☐ Stockholder Sole Proprietor Revenue Sharing Partner Citizenship / Residency Information Are you a Massachusetts Resident? Yes ○ No Are you a U.S. Citizen? **Criminal History** If yes, please provide an affidavit Have you ever been convicted of a state, federal, or military crime? CYes No explaining the charges.

ALCOHOLIC BEVERAGES CONTROL COMMISSION

If you hold a direct beneficial interest in the proposed licensee, please list 100

BENEFICIAL INTEREST CONTACT - Individual (continued)

Ownership / Interest

indirect interest in	bove, do you hold a di the proposed licensee	!					interest you hold.	o tales	
If you l	hold an indirect benefic	cial interest	in this lice	nse, ple	ase complete	the <u>Own</u>	ership / Interest Tab	le below.	
wnership / Intere				(to a	the evanniza	ation(s) v	ou hold a direct int	terest in which, i	n
you hold an <u>indire</u>	st ect interest in the pro or indirect interest in	posed lice	nsee, plea	ase list	tne organiza ese generall	v include	parent companies	, holding compa	nies
rn, hold a direct o	or indirect interest in icial Interest - Organ	the propos	m will nee	ed to b	e completed	for each	entity listed below	N.	
usts, etc. A Benet	icial Interest - Organ			Ousen	ization		FEIN		
	Name	of Beneficia	I Interest -	Organi					
	*		NA						
							,		
ther Beneficial In	terest						L Alcoholic	Boverages Licens	se(s)
ist any indirect or	iterest indirect beneficial o	r financial i	nterest y	ou have	e in any othe	er Massac	Premises A	ddress	
Name of Lic		pe of Licen	se	Licens	e Number		Premises A	duress	
NA									
			2						
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<u>Familial Beneficia</u>	(F)	را. الم	ownersh	nin inte	rest in any o	other Mas	ssachusetts Alcoho	olic Beverages Lic	cens
Does any member	r of your immediate includes parents, sik	dings snou	se and sp	ouse's	parents. Pl	ease list l	oelow.	\ D \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	flato
Immediate family	ship to You	ABCC Lic	ense Num	ber	Type of Int	erest (cho	ose primary function	NA Percentage of	IIIC
	NA		NA		NA			INA	
									14
	Action								
Prior Disciplinary	en involved directly	or indirect	v in an al	coholic	beverages	license th	nat was subject to	disciplinary actio	n?
Have you ever be	en involved alrectly	or maneet	iy iii air ai		-			-tion	
yes, please comp Date of Action	lete the following: Name of License	State	City			ension, re	evocation or cancell	auon	
NA NA	NA	NA	NA	N/	4				
177.									



June 7, 2017

A vote was taken by the Board of Directors of the La Cantina Winery Company for all in favor to move forward with the application of a Retail Alcoholic Beverage License to obtain a 19H Pouring Permit.

A corporate vote to apply for a new / transfer of license and a corporate vote to appointing the manager of record, signed by an authorized signatory for the proposed licensed entity

Robert Vozzella

Owner

MA SOC Filing Number: 201401768140 Date: 11/5/2014 2:40:00 PM



The Commonwealth of Massachusetts William Francis Galvin

Minimum Fee: \$250.00

Secretary of the Commonwealth, Corporations Division One Ashburton Place, 17th floor Boston, MA 02108-1512 Telephone: (617) 727-9640

Articles of Organization

(General Laws, Chapter 156D, Section 2.02; 950 CMR 113.16)

Federal Employer Identification Number: 001151382 (must be 9 digits)

ARTICLE I

The exact name of the corporation is:

LA CANTINA WINERY COMPANY

ARTICLE II

Unless the articles of organization otherwise provide, all corporations formed pursuant to G.L. C156D have the purpose of engaging in any lawful business. Please specify if you want a more limited purpose:

ARTICLE III

State the total number of shares and par value, if any, of each class of stock that the corporation is authorized to issue. All corporations must authorize stock. If only one class or series is authorized, it is not necessary to specify any particular designation.

Class of Stock	Par Value Per Share Enter 0 if no Par		zed by Articles or Amendments <i>Total Par Value</i>	Total Issued and Outstanding Num of Shares
STK	\$0,0000	1	\$0.00	0

G.L. C156D eliminates the concept of par value, however a corporation may specify par value in Article III. See G.L. C156D Section 6.21 and the comments thereto.

ARTICLE IV

If more than one class of stock is authorized, state a distinguishing designation for each class. Prior to the issuance of any shares of a class, if shares of another class are outstanding, the Business Entity must provide a description of the preferences, voting powers, qualifications, and special or relative rights or privileges of that class and of each other class of which shares are outstanding and of each series then established within any class.

ARTICLE V

The restrictions, if any, imposed by the Articles of Organization upon the transfer of shares of stock of any class are:

ARTICLE VI

Other lawful provisions, and if there are no provisions, this article may be left blank.

Note: The preceding six (6) articles are considered to be permanent and may be changed only by filing appropriate articles of amendment.

ARTICLE VII

The effective date of organization and time the articles were received for filing if the articles are not rejected within the time prescribed by law. If a *later* effective date is desired, specify such date, which may not be later than the *90th day* after the articles are received for filing.

Later Effective Date: Time:

ARTICLE VIII

The information contained in Article VIII is not a permanent part of the Articles of Organization.

a,b. The street address of the initial registered office of the corporation in the commonwealth and the name of the initial registered agent at the registered office:

Name:

ROBERT A. VOZZELLA

No. and Street:

355 UNION ST

City or Town:

FRANKLIN State: MA

Zip: 02038

Country: USA

c. The names and street addresses of the individuals who will serve as the initial directors, president, treasurer and secretary of the corporation (an address need not be specified if the business address of the officer or director is the same as the principal office location):

Title	Individual Name First, Middle, Last, Suffix	Address (no PO Box) Address, City or Town, State, Zip Code	
PRESIDENT	ROBERT A VOZZELLA	355 UNION ST FRANKLIN, MA 02038 USA	
TREASURER	ROBERT A VOZZELLA	355 UNION ST FRANKLIN, MA 02038 USA 355 UNION ST FRANKLIN, MA 02038 USA	
SECRETARY	· ROBERT A VOZZELLA		
CEO	ROBERT A VOZZELLA	355 UNION ST FRANKLIN, MA 02038 USA	
DIRECTOR	ROBERT A VOZZELLA	355 UNION ST FRANKLIN, MA 02038 USA	

d. The fiscal year end (i.e., tax year) of the corporation:

September

e. A brief description of the type of business in which the corporation intends to engage:

WINE PRODUCTION

f. The street address (post office boxes are not acceptable) of the principal office of the corporation:

No. and Street:

355 UNION ST.

City or Town:

FRANKLIN

State: MA

Zip: 02038

Country: USA

g. Street address where the records of the corporation required to be kept in the Commonwealth are located (post office boxes are not acceptable):

No. and Street: City or Town:	355 UNION ST. FRANKLIN	State: MA	Zip: <u>02038</u>	Country: <u>USA</u>			
	etary/assistant secretary	its register					
Signed this 5 Day of November, 2014 at 2:42:45 PM by the incorporator(s). (If an existing corporation is acting as incorporator, type in the exact name of the business entity, the state or other jurisdiction where it was incorporated, the name of the person signing on behalf of said business entity and the title he/she holds or other authority by which such action is taken.) ROBERT A. VOZZELLA							
© 2001 - 2014 Commonwealth All Rights Reserved	n of Massachusetts						

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MA SOC Filing Number: 201401768140 Date: 11/5/2014 2:40:00 PM

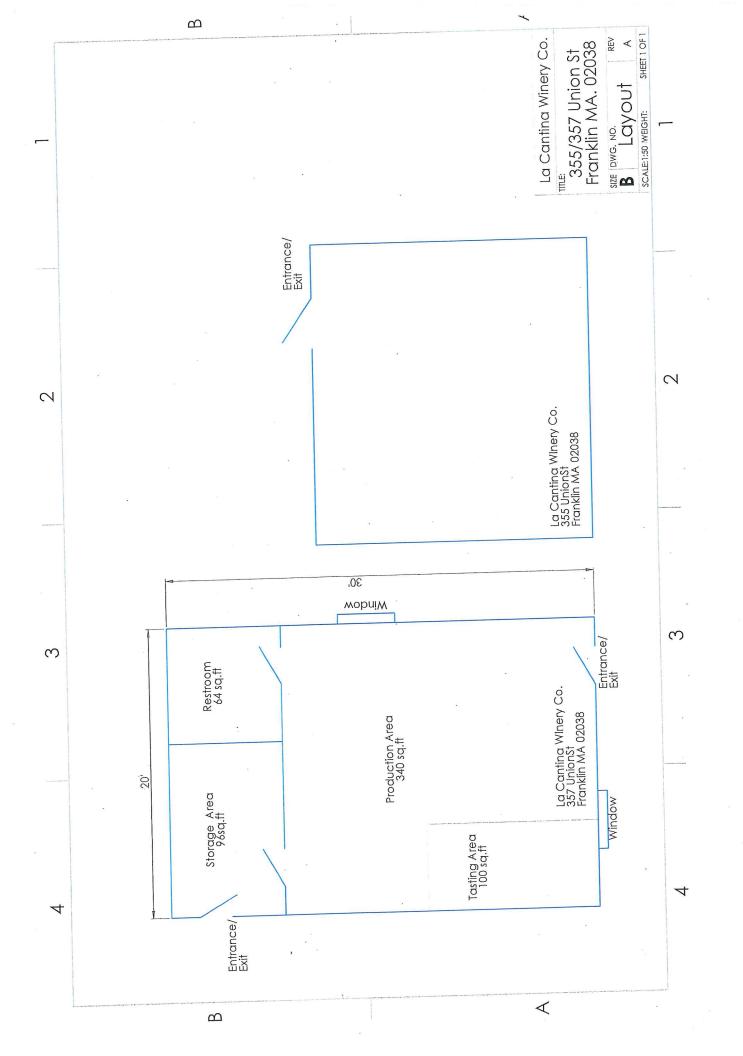
THE COMMONWEALTH OF MASSACHUSETTS

I hereby certify that, upon examination of this document, duly submitted to me, it appears that the provisions of the General Laws relative to corporations have been complied with, and I hereby approve said articles; and the filing fee having been paid, said articles are deemed to have been filed with me on:

November 05, 2014 02:40 PM

WILLIAM FRANCIS GALVIN

Secretary of the Commonwealth



February 22, 2017

Robert A. Vozzella La Cantina Winery Company 355 Union Street Franklin, MA 02038

Re: 357 Union Street, Franklin, MA 02038

Dear Mr. Vozzella,

This letter will evidence the Sublease agreement by and between Music Street Realty, LLC (Sublessor) and La Cantina Winery Company (Sublessee). The Sublessor is the holder of a leasehold interest ("Lease") from Gino D. Carlucci, Jr., Trustee of the Carlucci Family Revocable Trust, owner of the premises located at the above-referenced address ("Premises"). Pursuant to the terms of the Lease, Music Street Realty, LLC is authorized to sublet, and has indeed subletted, its interest in the Premises, to La Cantina Winery Company under the mutually agreed upon terms contained in a certain separately executed sublease agreement by and between the Sublessor and the Sublessee.

If I can be of further assistance, please feel free to contact me by phone or email at a time that is convenient for you.

Sincerely,

Nathan Carlucci

Manager

Music Street Realty, LLC

LACAN-1

OP ID: MK

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY) 07/24/2017

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED

REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER. IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s). CONTACT Matthew Keras 508-528-3310 PRODUCER FAX (A/C, No): 508-528-3887 PHONE (A/C, No, Ext): 508-528-3310 Keefe Insurance Agency 51 West Central Street E-MAIL ADDRESS: Franklin, MA 02038 Matthew Keras INSURER(S) AFFORDING COVERAGE NAIC# INSURER A : Liberty Mutual Insurance INSURER B. United States Liability Ins. C INSURED La Cantina Winery Co. Bob Vozzella INSURER C: 355 Union St Franklin, MA 02038 INSURER D : INSURER E INSURER F: **REVISION NUMBER: CERTIFICATE NUMBER:** COVERAGES THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES, LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS. ADDL SUBR POLICY EFF POLICY EXP LIMITS POLICY NUMBER TYPE OF INSURANCE 1,000,000 EACH OCCURRENCE X COMMERCIAL GENERAL LIABILITY 300,000 DAMAGE TO RENTED PREMISES (Ea occurrence) 09/22/2016 09/22/2017 CLAIMS-MADE OCCUR BKS56916463 15,000 MED EXP (Any one person) 1,000,000 PERSONAL & ADV INJURY 2,000,000 GENERAL AGGREGATE \$ GEN'L AGGREGATE LIMIT APPLIES PER: 2,000,000 PRO-JECT PRODUCTS - COMP/OP AGG \$ X POLICY LOC OTHER: COMBINED SINGLE LIMIT (Fa accident) AUTOMOBILE LIABILITY BODILY INJURY (Per person) ANY AUTO BODILY INJURY (Per accident) PROPERTY DAMAGE (Per accident) SCHEDULED AUTOS OWNED AUTOS ONLY NON-OWNED AUTOS ONLY HIRED AUTOS ONLY EACH OCCURRENCE OCCUR UMBRELLA LIAB CLAIMS-MADE AGGREGATE **EXCESS LIAB** DED RETENTION \$ PER STATUTE WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) E.L. EACH ACCIDENT E.L. DISEASE - EA EMPLOYEE yes, describe under ESCRIPTION OF OPERATIONS below E.L. DISEASE - POLICY LIMIT 250,000 07/24/2017 07/24/2018 Occur CL2716835 Liquor Liability 500,000 Aggreg DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required) Winery CANCELLATION CERTIFICATE HOLDER TOWNF-2 SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. Town of Franklin 355 East Central Street Franklin, MA 02038 AUTHORIZED REPRESENTATIVE
Matthew Keras

ACORD 25 (2016/03)

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